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Application Number	09/688,392
Filing Date	October 16, 2000
First Named Inventor	Thomas C. Naratil
Group Art Unit	2161
Examiner Name	Mary Benton
Attorney Docket Number	4034-20

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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Robert I. Pearlman, Reg. No. 18,881
Signature	
Date	March 14, 2001

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Of: Thomas C. Naratil

Group No.: 2161

Application No.: 09/688,392

Examiner Name: Mary Benton

Filing Date: October 16, 2000

Attorney Docket No.: 4034-20

For: **SYSTEM AND METHOD FOR TRADING TAXABLE AND  
NON-TAXABLE SECURITIES**

Riker, Danzig, Scherer, Hyland

& Perretti LLP

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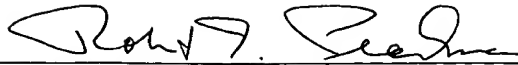
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